

Appendix 1 - Summary of 2019/20 Internal Audit Plan

| Report Title | Overall Opinion | No. of Recommendations | | | |
|---|-----------------------------|------------------------|-----------|-----------|----------|
| | | High | Medium | Low | VFM |
| Risk Management | High | 0 | 0 | 1 | 0 |
| Contract Management/Health and Safety | High | 0 | 0 | 2 | 0 |
| Additional Support Needs | High | 0 | 0 | 1 | 0 |
| Oban Airport | High | 0 | 0 | 2 | 0 |
| Building Standards | High | 0 | 0 | 2 | 0 |
| LEADER | High | 0 | 0 | 0 | 0 |
| Council Premises Cleaning | Substantial | 0 | 1 | 1 | 0 |
| School Attendance | Substantial | 0 | 1 | 2 | 0 |
| Care Home Provision | Substantial | 0 | 1 | 2 | 0 |
| LiveArgyll-Normal Operating/Emergency Action Procedures | Substantial | 0 | 1 | 2 | 0 |
| LiveArgyll-Performance Management | Substantial | 0 | 1 | 0 | 0 |
| Following the Public Pound | Reasonable | 1 | 2 | 1 | 0 |
| Business Continuity Planning | Reasonable | 2 | 3 | 1 | 0 |
| Information Asset Registers | Reasonable | 1 | 2 | 0 | 0 |
| Fleet Management | Reasonable | 0 | 2 | 2 | 2 |
| Grounds Maintenance | Reasonable | 0 | 3 | 0 | 0 |
| Pupil Equity Fund | Limited | 1 | 2 | 1 | 0 |
| Logical Access (Key Systems) | Complete in 2020/21 (COVID) | | | | |
| System Interface & Reconciliations | Complete in 2020/21 (COVID) | | | | |
| Cyber Security | Removed from audit plan | | | | |
| Legionella Improvement Plan | Complete in 2020/21 (COVID) | | | | |
| School Purchasing | Complete in 2020/21 (COVID) | | | | |
| Contract Management/HSCP | Complete in 2020/21 (COVID) | | | | |
| Special Investigation: Whistleblowing | N/A | 2 | 2 | 1 | 0 |
| Special Investigation: St. Joseph's | Complete in 2020/21 (COVID) | | | | |
| | Total | 7 | 21 | 21 | 2 |

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|--|--------------|---|
| Total No. of Audits by Overall Opinion | High | 6 |
| | Substantial | 5 |
| | Reasonable | 5 |
| | Limited | 1 |
| | No Assurance | 0 |